

In the United States Patent and Trademark Office

Applicant(s): David Lawrence	Docket No.: 17209-311CP1
Serial No.: 10/775,868	Art Unit: 3696 Conf.No.: 1175
Filed: February 10, 2004	Examiner: Vizvary, Gerald C.
For: Insider Trading Risk Management	

Mailstop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE

Sir:

Further to the Non-Final Office Action dated June 24, 2009 (hereinafter "Office Action"), in view of the following amendments and/or remarks, Applicant requests entry thereof and reconsideration of the above-referenced application.

Listing of the Claims begin on page 2.

Remarks begin on page 6 of this paper.

35 USC § 112 remarks begin on page 6 of this paper.

35 USC § 103 remarks begin on page 8 of this paper.